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Brenda Garcia

## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of:

Group Art Unit:

2857

Majed M. Al Hamrani

§ § §

Serial No.:

10/601,6031

Examiner:

**UNASSIGNED** 

Filed:

June 23, 2003

Title: REACTIVE POWER OPTIMIZATION

Docket No.: 004159.003008

WITH ADAPTIVE EXCITATION CONTROL

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby submits the following references in accordance with 37 C.F.R. §§ 1.56 and 1.97. Copies of any U.S. Patent references cited in the attached PTO-1449 are not enclosed.

Respectfully submitted,

Registration No. 25,689

**BRACEWELL & PATTERSON** 711 Louisiana, Suite 2900 Houston, Texas 77002 713-221-1377 Fax 713-221-2185

Approved for use through 07/31/2006. OMB 0651-0031
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

bstitute for form 1449/PTO

Sheet 1

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(Use as many sheets as necessary)

Complete if Known				
Application Number	10/601,603			
Filing Date	June 23, 2003			
First Named Inventor	Majed M. Al Hamrani			
Art Unit	2857			
Examiner Name	UNASSIGNED			
Attorney Docket Number	004159.003008			

	1 -:-			DOCUMENTS	Dance Columns Lines With see
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 3,936,722		Goto, et al	
		<sup>US-</sup> 4,982,174		Lauw	
		<sup>US-</sup> 5,321,308		Johncock	
	Ì	<sup>US-</sup> 5,440,222		Tanaka, et al	
<u> </u>		<sup>US-</sup> 5,483,147		Ilic, et al	
		<sup>US-</sup> 6,208,120		Gibbs	
		<sup>US-</sup> 6,232,751		Farr, et al	
		<sup>US-</sup> 6,265,852		Kitamura, et al	
		<sup>US-</sup> 6,329,773		Xia, et al	
		<sup>US-</sup> 6,323,618		Xia, et al	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵		
,								

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)

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Substitute for form 1449/PTO		Complete if Known				
		Application Number	10/601,603			
INF	ORMATION	I DIS	CLOSURE	Filing Date	June 23, 2003	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Majed M. Al Hamrani	
	///	4		Art Unit	2857	
(Use as many sheets as necessary)		Examiner Name	UNASSIGNED			
Sheet	2	of	2	Attorney Docket Number	004159.003008	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		MAJED M. AL HAMRANI, Reactive Power Optimization Using Adaptive Excitation Control of Synchronous Motors, Thesis, June 25, 2002, Oregon State University	
***		KEVIN D. BECKER, Power Factor Correction With Synchronous Machines, EC&M, December, 1993, pp. 47-48, 50, 52. and 56-57	
		M. M. AL-HAMRANI, A. VON JOUANNE, A. WALLACE, Power Factor Correction in Industrial Facilities Using Adaptive Excitation Control of Synchronous Machines, IEEE, June 17, 2002,	
		STANLEY R. SHILLING, P.E., Programmable Logic Controller for Synchronous Motors, Saudi Aramco Engineering Report – SAER-5612, June 1994, Dhahran	
		LIU NIAN; TENG FUSHENG, The New Intelligent Monitoring Method for Operating States of Large Generator Units, IEEE Power Engineering Society Winter Meeting, Vol.1, Jan 2000, pp	
		MONTERO, L.R.R., Monitoring and Control System Based on Microcomputer for Synchronous Generator, IEEE Transations on Energy Conversion, Vol14, No.4, December 1999	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.